



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Technology Center 2100

In re Applicants:

Jean-Marc TRINON, Philippe MOITROUX,  
Bernard LEMERCIER, Olivier PIGNAULT

Filed: July 6, 2001

Serial No.: 09/900,283

For: System and Method of Enterprise  
Systems and Business Impact Management

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Art Unit: 2171

Examiner: Unassigned

Attorney Docket No.: 45023-00100

BOX DD

Assistant Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97, Applicant hereby submits the following references:

PATENTS:

4,750,137	4,837,679	4,937,739	5,122,949	5,222,235	5,396,623
5,430,871	5,432,901	5,432,933	5,440,692	5,446,885	5,463,555
5,566,334	5,572,674	5,579,515	5,615,359	5,625,817	5,655,081
5,664,217	5,761,667	5,761,676	5,764,953	5,815,096	5,860,069
5,892,907	5,913,037	5,978,594	6,011,871	6,014,740	6,016,501
6,029,178	6,035,307	6,134,676	6,141,647		

The following references were cited by the European Patent Office in the corresponding PCT application in the International Search Report dated November 15, 2002.

PATENTS:

5,577,197      5,655,081

ARTICLES:

S. H. Schwartz et al; Value-Oriented Network Management; April 10-14, 2000; Operations and Management Symposium "The Networked Planet: Management Beyond 2000," (Cat No. 00CB37074), Proceedings of Network Operations and Management Symposium, Honolulu, HI, USA.

Mastercell™ Ultimate Event Management; IT Masters White Paper; July 1998, pgs. 1-22; XP002219158.

Kubo K., et al; A Method of Extracting Management Information for Service Management; March 1, 1998; Vol. 10, No. 2, pgs. 63-68; XP000740456.

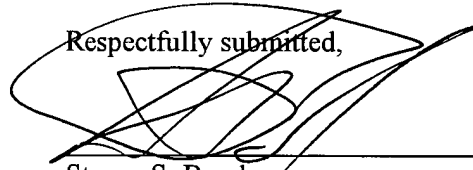
Lewis, L.; Spectrum Service Level Management Definition, Offerings, and Strategy; Technical Note LML-CTRON-98-02; March 30, 1998; XP002150696.

Copies of all cited references are enclosed.

Citation of these references should not be construed as an admission that they constitute prior art of the claimed invention.

It is believed that no fees are due. However, if there are any remaining charges or credits, the Commissioner is authorized to charge or credit the Locke Liddell & Sapp LLP Deposit Acct. No. 12-1322 (Ref. 45023-00100).

Respectfully submitted,

  
\_\_\_\_\_  
Steven S. Boyd  
Reg. No. 42,353

Dated: December 30, 2002

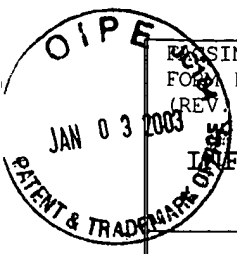
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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(A)**

I hereby certify that this correspondence and the corresponding documents are being deposited, on the date indicated below, with the United States Postal Service as First-Class Mail in an envelope addressed to: Box DD, Assistant Commissioner for Patents, Washington, D.C. 20231.

Dated: December 30, 2002

  
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Beth A. Sanders



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(REV. 6-89)

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**INFORMATION DISCLOSURE CITATION**

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APPLICANT  
**Trinon, et al**

FILING DATE  
**July 6, 2001**

GROUP ART. 1  
**2171**

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**Technology Center 2100**

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,750,137	06/07/88	Harper, et al	364	514	02/07/86
	4,837,679	06/06/89	Wiles, Jr., et al	364	200	12/31/86
	4,937,739	06/26/90	Ernst, et al	364	200	07/27/88
	5,122,949	06/16/92	Harper, et al	395	109	07/27/88
	5,222,235	06/22/93	Hintz, et al	395	600	02/01/90
	5,396,623	03/07/95	McCall, et al	395	600	10/30/92
	5,430,871	07/04/95	Jamoussi, et al	395	600	10/27/92
	5,432,901	07/11/95	Harper, et al	395	156	01/30/92
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	5,566,334	10/15/96	Loader	395	650	05/04/95
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	5,579,515	11/26/96	Hintz, et al	395	607	12/16/93
	5,615,359	03/25/97	Yung	395	610	06/23/94
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	5,664,217	09/02/97	Cunningham, et al	395	821	08/03/93
	5,761,667	06/02/98	Koeppen	707	101	08/07/96
	5,761,676	06/02/98	Wood, et al	707	202	09/19/94
	5,764,953	06/09/98	Collins, et al	395	500	06/06/95
	5,815,096	09/29/98	Smith	341	51	09/13/95
	5,860,069	01/12/99	Wright	707	4	04/11/97
	5,892,907	04/06/99	Harper, et al	395	200.3	04/08/94
	5,913,037	06/15/99	Spofford, et al	395	200.56	07/03/96

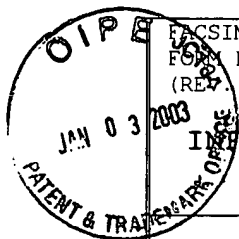
**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER	DATE CONSIDERED

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,978,594	11/02/99	Bonnell, et al	395	837	03/06/97
	6,011,871	01/04/00	Xu	382	240	10/09/97
	6,014,740	01/11/00	Shearer, Jr.	712	233	04/11/97
	6,016,501	01/18/00	Martin, et al	707	203	03/30/98
	6,029,178	02/22/00	Martin, et al	707	201	03/31/98
	6,035,307	03/07/00	Martin, et al	707	203	03/30/98
	6,134,676	10/17/00	VanHuben, et al	714	39	04/30/98
	6,141,647	10/31/00	Meijer, et al	705	1	04/15/96
	5,577,197	11/19/96	Beck	395	183.04	10/29/92
	5,655,081	08/05/97	Bonnell, et al	395	200.32	03/08/95

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		S. H. Schwartz et al; <u>Value-Oriented Network Management</u> ; April 10-14, 2000; Operations and Management Symposium "The Networked Planet: Management Beyond 2000," (Cat No. 00CB37074), Proceedings of Network Operations and Management Symposium, Honolulu, HI, USA.
		<u>Mastercell™ Ultimate Event Management</u> ; IT Masters White Paper; July 1998, pgs. 1-22; XP002219158.
		Kubo K., et al; <u>A Method of Extracting Management Information for Service Management</u> ; March 1, 1998; Vol. 10, No. 2, pgs. 63-68; XP000740456.
		Lewis, L.; <u>Spectrum Service Level Management Definition, Offerings, and Strategy</u> ; Technical Note LML-CTRON-98-02; March 30, 1998; XP002150696.

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